## IN THE UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF ALABAMA NORTHERN DIVISION

LARRY FITZPATRICK,	) Case No.: 2:07-CV-528			
Plaintiff,	<ul><li>) BRIEF IN SUPPORT OF MOTION FOR</li><li>) SUMMARY JUDGMENT</li></ul>			
vs.	)			
CITY OF MONTGOMERY,	) )			
Defendant	) )			

COMES NOW the City of Montgomery, by and through undersigned counsel, and in support of its Motion for Summary Judgment says as follows:

#### PROCEDURAL HISTORY

Anthony Fitzpatrick was terminated from his employment with the Fleet Management Department of the City of Montgomery on February 8, 2006 for three separate incidents wherein he failed to properly install or re-install critical parts on city owned automobiles. (*Exhibit A, p. 4, Affidavit of Terry Gaddis*). Mr. Fitzpatrick was recommended for dismissal by his department head, a recommendation which he appealed to the Mayor's office. (*Exhibit A, p.8, Affidavit of Terry Gaddis*). An appeal hearing was held by the Mayor's executive assistant on February 1, 2006 regarding the same. (*Exhibit A, p. 6, Affidavit of Terry Gaddis*). After hearing from witnesses introduced by Mr. Fitzpatrick, the Mayor's Executive Assistant recommended that the Recommendation for Dismissal be upheld, and Fitzpatrick was terminated by the Mayor on February 8, 2006 for failure to properly install the rear brake caliper on Police vehicle 6210-2950; failure to install the hat-shaped seal on the fuel tank of Police vehicle 2610-2986; failure to tighten the wheel lug nuts when replacing the wheel on vehicle 5800-2484, his previous record having been considered. (*Exhibit A, p. 4*).

Mr. Fitzpatrick appealed his termination to the Montgomery City County Personnel Board which, after another hearing wherein testimony was taken and exhibits offered, upheld the Mayor's decision to terminate Mr. Fitzpatrick.

On March 9, 2006, Fitzpatrick filed a charge of discrimination with the EEOC. The instant lawsuit was filed on June 14, 2007 alleging race-based discrimination under 42 U.S.C. §§1981 and 2000, and retaliation prohibited by 42 U.S.C. §2000. (Doc. No. 1).

## **FACTS**

Larry Fitzpatrick was employed as an auto mechanic in the Fleet Management Department of the City of Montgomery. (*Exhibit A, p. 1*). The plaintiff's employment was terminated for having committed three separate acts of negligent maintenance within a twelve month period. (*Exhibit A, p. 1,*). On or about November 8, 2004, Fitzpatrick was charged with performing routine maintenance on a city van. (*Exhibit A, p. 1*). When he finished the job, the van was parked on the ready line for pick-up. When driven off, one of the wheels began to wobble, almost coming off. (*Exhibit A, p. 1-2*). Upon inspection, it appeared that the wheel lug nuts were missing and had not been replaced. (*Exhibit A, p.2*).

During the second week of December of 2004, the Plaintiff was assigned to replace a faulty fuel pump on a Police Department vehicle. (*Exhibit A, p. 2*). That vehicle was returned to the garage several days after this work was performed because fuel was leaking onto the ground. (*Exhibit A, p. 2*). Upon inspection, it was found that a critical hat-shaped seal had not been installed under the fuel pump. (*Exhibit A, p. 2*). Consequently, fuel was leaking out of the gas tank in very close proximity to the exhaust pipe. (*Exhibit A, p. 2*).

On October 17, 2005, Fitzpatrick was assigned to perform brake work on a police department patrol car. (*Exhibit A, p. 2*). On October 25, 2005 that vehicle was returned to the

garage by the police department because the left, rear brake caliper had come off the rotor and was hanging loose under the car. (*Exhibit A, p. 2*). Upon inspection, it was determined that the two bolts meant to secure the caliper to the wheel assembly had not been installed. (*Exhibit A, p. 2*). More specifically, metal shavings generated when the caliper worked itself loose were observed inside the holes in the caliper meant to accept the subject bolts. (*Exhibit A, p. 2*).

#### **ARGUMENT**

## I. Framework

While the plaintiff makes a claim for disparate treatment under both Title VII and §1981, the claims are both derived from the same set of circumstances and alleged actions of the employer. Both Title VII and a race-based disparate treatment claim under §1981 carry "the same requirements of proof and present the same analytical framework." Washington v. Kroger Co., 218 Fed.Appx. 822, 824 (11<sup>th</sup> Cir.2007); Standard v. A.B.E.L. Services, 161 F.3d 1318, 1330 (11<sup>th</sup> Cir.1998). In addition to his disparate treatment claims, Plaintiff makes a claim for retaliation. Because all of these claims are alleged as circumstantial, the same framework applies for all.

There are two methods of proving Plaintiff's claims, whether they be for disparate treatment or retaliation. They may either be proven by direct evidence or by circumstantial evidence. Nowhere in the plaintiff's complaint does he allege any direct evidence of either the alleged disparate treatment or retaliation. To the contrary, Plaintiff alleges that he was treated differently than members of another racial classification; namely white males. (Doc. No. 1). The claims, therefore, must be evaluated under the McDonnell Douglas burden-shifting framework.

"In evaluating disparate treatment [and retaliation] claims supported by circumstantial evidence, courts within this circuit and elsewhere rely on the framework established by the United States Supreme Court in <a href="McDonnell Douglas Corp. v. Green">McDonnell Douglas Corp. v. Green</a>, 411 U.S. 792 (1973)."

<a href="Smith v. City of Montgomery">Smith v. City of Montgomery</a>, \_\_\_ F.Supp.2d \_\_\_\_, 2007 WL 1266867, 6 (M.D.Ala.2007). See

Holifield v. Reno, 115 F.3d 1555, 1566 (11th Cir.1997) (retaliation claims analyzed under the same framework).

#### II. **Disparate Treatment - Comparator**

In Count I of the Complaint, Plaintiff, a black male, alleges that he was treated disparately from other, similarly situated white males. (Doc. No. 1). More specifically, he names Johnny Ray Smith as his comparator. (Doc. No. 1).

"A plaintiff establishes a prima facie case of disparate treatment by showing that [he] was a qualified member of a protected class and was subjected to an adverse employment action in contrast with similarly situated employees outside the protected class." Wilson v. B/E Aerospace, Inc., 376 F.3d 1079, 1087 (11th Cir.2004); McDonnell Douglas, 411 U.S. at 802, 93 S.Ct. at 1824. It is undisputed that Fitzpatrick is a member of a protected class, and that he was subjected to an adverse employment action in his termination. Johnny Ray Smith and Larry Fitzpatrick are not, however, similarly situated.

As discussed above, the plaintiff's employment was terminated after he either failed to install a critical part or improperly installed a critical part on at least three separate occasions within a twelve-month period. Each of these failures created a significant safety hazard for both the vehicle operators and the public at large. Johnny Ray Smith, on the other hand, has never failed to install a part correctly or neglected to install a part at all. And he has never been found to have otherwise performed his work in such a way that a safety hazard was created.

Plaintiff has also alleged that he was treated disparately when he, and not Johnny Ray Smith was required to go to counseling after a verbal altercation between him and Mr. Smith. To the contrary, Johnny Ray Smith was given the same requirement. Smith was directed to undergo counseling at the City's expense just as Fitzpatrick was. He too was directed, by way of the attached letter, to attend counseling, and he was told that failure to attend would result in

Filed 04/02/2008

disciplinary action against him. (Exhibit A, p.23). Both Fitzpatrick and Smith were ordered to take a drug test and to go to one session of counseling. Moreover, as is shown in the attached reprimands, both received a Form 30, Employee Counseling Record. (Exhibit A, p. 24-26, Employee Counseling Records for Johnny Ray Smith & Larry Fitzpatrick). Johnny Ray Smith signed his counseling form, but Fitzpatrick refused. (Exhibit A, p.23-26).

#### III. **Legitimate Non-Discriminatory Reason**

While the elements necessary to establish a prima facie case differ for disparate treatment and retaliation, once the plaintiff has satisfied the respective elements, the burden shifts to the employer to articulate a legitimate non-discriminatory reason for the adverse action. McDonnell Douglas, 411 U.S. at 792 (1973). If the employer satisfies its burden, the burden then shifts back to the plaintiff to show that the proffered reason for the adverse employment action is pretext. McDonnell Douglas, 411 U.S. at 792 (1973); Texas Dep't of Community Affairs v. Burdine, 450 U.S. 248, 254-255, 101 S.Ct. at 1094 (1981); Wilson v. B/E Aerospace, Inc., 376 F.3d 1079, 1087 (11<sup>th</sup> Cir.2004).

The plaintiff's employment was terminated for having committed three separate acts of negligent maintenance within a twelve month period. On or about November 8, 2004, Fitzpatrick was charged with performing routine maintenance on a city van. When he finished and the van was driven off, one of the wheels began to wobble, almost coming off. Upon inspection, it appeared that the wheel lug nuts had not been replaced.

During the second week of December of 2004, the Plaintiff was assigned to replace a faulty fuel pump on a Police Department vehicle. That vehicle was returned to the garage several days after this work was performed because fuel was leaking onto the ground. Upon inspection, it was found that a critical hat-shaped seal had not been installed under the fuel pump. Consequently, fuel was leaking out of the gas tank in very close proximity to the exhaust pipe.

On October 17, 2005, Fitzpatrick was assigned to perform brake work on a police department patrol car, vehicle number 6210-2950. On October 25, 2005 that vehicle was returned to the garage by the police department because the left, rear brake caliper had come off the rotor and was hanging loose under the car. Upon inspection, it was determined that the two bolts meant to secure the caliper to the wheel assembly had not been installed. More specifically, metal shavings generated when the caliper worked itself loose were observed inside the holes in the caliper meant to accept the subject bolts.

Each of these instances of negligent maintenance posed serious risks of injury, both to the city employees operating the vehicles and to the public, not to mention the potential property damage caused by these lapses. The failure to install lug nuts created a serious risk that the wheel would come off during operation and cause a potentially serious accident. Likewise, the loss of the brake caliper not only caused the loss of braking ability on one wheel of a patrol car which is designed for the ability to be driven at excessive rates of speed in an emergency, but it threatened the loss of *all* braking ability. When the vehicle was returned, the caliper was hanging by the hydraulic hose. Had the caliper pulled loose from the hose, the loss of hydraulic pressure would have rendered the vehicle's brakes inoperative.

The failure to replace the hat seal under the fuel pump exposed raw gasoline to an area of the vehicle where it could easily have come into contact with a hot exhaust pipe, creating a substantial risk of fire.

Simply put, the failures on the part of Larry Fitzpatrick put lives in danger.

#### SUMMARY JUDGMENT STANDARD

Under Rule 56(c) of the Federal Rules of Civil Procedure, summary judgment is proper "if the pleadings, depositions, answers to interrogatories and admissions on file, together with the

affidavits, if any, show that there is no genuine issue as to any material fact and that the moving party is entitled to judgment as a matter of law." <u>Celotex Corp. v. Catrett</u>, 477 U.S. 317 (1986).

The party asking for summary judgment "always bears the initial responsibility of informing the district court of the basis for its motion, identifying those portions of the pleadings, depositions, answers to interrogatories and admissions on file, together with the affidavits, if any, which it believes demonstrate the absence of a genuine issue of material fact." Celotex Corp., 477 U.S. at 323. The movant can meet this burden by presenting evidence showing that there is no dispute of material fact, or by showing, or pointing out to, the district court that the non-moving party has failed to present evidence in support of some elements of its case on which it bears the ultimate burden of proof. Celotex Corp., 477 U.S. at 322-324.

Once the moving party has met its burden, Rule 56(e) "requires a non-moving party to go beyond the pleadings and by [its] own affidavits, or by the depositions, answers to interrogatories, or admissions on file, designate specific facts showing there is a genuine issue for trial." Celotex Corp., 477 U.S. at 324. To avoid summary judgment, the non-moving party "must do more than show that there is some metaphysical doubt as to the material facts."

Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574 (1986). Conclusory allegations cannot interpose genuine issues of material fact into the litigation so as to preclude entry of summary judgment. Fed.R.Civ.P. 56(c).

After the non-moving party has responded to a motion for summary judgment, the court must grant summary judgment if there is no genuine issue of material fact and the moving party is entitled to judgment as a matter of law. <u>Yannella v. City of Dothan</u>, 66 F. Supp. 2d 1233 (M.D. Ala. 1999).

#### **CONCLUSION**

The circumstances surrounding Fitzpatrick's termination bear little resemblance to anything about Johnny Ray Smith's employment or treatment. Simply put, Johnny Ray Smith has not performed his work in such a way as to create a safety risk to City employees and the public. Moreover, Fitzpatrick has done so repeatedly. He has shown a pattern of negligence which the City of Montgomery simply cannot in good conscience allow to persist. Doing so would be tantamount to negligent supervision on the part of the City itself.

Respectfully submitted,

/s/ Wallace D. Mills Wallace D. Mills (MIL 090) Attorney for City of Montgomery

Filed 04/02/2008

OF COUNSEL: City of Montgomery Attorney's Office 103 North Perry St., Rm. 200 Montgomery, AL 36104 (334) 241-2050

## **CERTIFICATE OF SERVICE**

I hereby certify that on the 2<sup>nd</sup> day of April, 2008, I electronically filed the foregoing with the Clerk of the court using the CM/ECF system which will send notification of such filing to the following parties or counsel:

> Joseph Charles Guillot, Esq. McPhillips Shinbaum LLP P.O. Box 64 Montgomery, AL 36101-0064

and I hereby certify that I have also mailed by United States Postal Service the document to the above-named non-CM/ECF participants:

> /s/ Wallace D. Mills OF COUNSEL

Page 1 of 29

## IN THE UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF ALABAMA NORTHERN DIVISION

) Case No.: 2:07-CV-528		
AFFIDAVIT OF TERRY GADDIS		
)		

## STATE OF ALABAMA

#### COUNTY OF MONTGOMERY

In person appeared before the undersigned officer, duly authorized to administer oaths, Terry Gaddis, who, after being duly sworn, states under oath as follows:

- I am the Director of Fleet Management for the city of Montgomery and have personal knowledge of the facts set forth in this affidavit. I am an adult resident citizen of the state of Alabama and am competent to testify to the matters set forth herein.
- 2. Larry Fitzpatrick was employed as an auto mechanic in the Fleet Management Department of the City of Montgomery. Mr. Fitzpatrick's employment was terminated for having committed three separate acts of negligent maintenance within a twelve month period.
- 3. On or about November 8, 2004, Mr. Fitzpatrick was charged with performing routine maintenance on a city van. When he finished the job, the van was parked on the ready line for pick-up. When driven off, one of the wheels began to wobble, almost coming off. Upon inspection, it appeared that the wheel lug nuts had not been replaced.

Filed 04/02/2008

Terry Gaddis

- 4. During the second week of December of 2004, Mr. Fitzpatrick was assigned to replace a faulty fuel pump on a Police Department vehicle. That vehicle was returned to the garage several days after this work was performed because fuel was leaking onto the ground. Upon inspection, it was found that a critical hat-shaped seal had not been installed between the fuel pump and the gas tank. Consequently, fuel was leaking out of the gas tank in very close proximity to the exhaust pipe.
- 5. On October 17, 2005, Fitzpatrick was assigned to perform brake work on a police department patrol car. October 25, 2005, that vehicle was returned to the garage by the police department because the left, rear brake caliper had come off the rotor and was hanging loose under the car. Upon inspection, it was determined that the two bolts meant to secure the caliper to the wheel assembly had not been installed. More specifically, metal shavings generated when the caliper worked itself loose were observed inside the holes in the caliper meant to accept the subject bolts.
- 6. Attached hereto are records from my departmental files. Each of these documents was either made by me or under my supervision at or near the time of the events set out therein. They were made in the ordinary course of business, and they have been kept by me in the ordinary course of business. I hereby incorporate the statements made in those documents into this affidavit by reference as if they were fully set out herein, and swear and affirm that the statements made were made as they are represented therein.
  - 7. Further, the affiant saith not.

## STATE OF ALABAMA

## COUNTY OF MONTGOMERY

I, the undersigned notary public in and for said county in said state, hereby certify that Terry Gaddis, whose name is signed to the foregoing affidavit, and who is known to me, after being fully informed of the contents of the instrument, signed and executed the same voluntarily after first being duly sworn by me on the date set forth below.

GIVEN under my hand and official seal, this

2008.

My Commission Expires: My Commission Expires: Bonded thru notary public underwriters

## MEMORANDUM

TO:

Terry H. Gaddis

Director of Fleet Maintenance

FROM:

Michael S. Briddell

Executive Assistant to the Mayor

DATE:

February 8, 2006

RE:

RECOMMENDATION OF TERMINATION

It is the Mayor's decision to terminate Mr. Larry Fitzpatrick from employment with the City of Montgomery as stated in your Recommendation for Disciplinary Action dated January 13, 2006. Please prepare the necessary personnel forms and forward them to this office for approval.

Further insure that all the City personnel rules are followed.

APPROVED:

Bobby N. Bright

Mayor

MSB/wsf

Filed 04/02/2008

Page 5 of 29

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## NOTIFICATION OF DISMISSAL / DEMOTION

Certified M	Lail				1 g 1
	cipt Requested	-	Date:	2/0/06	
			<del></del>	4100	******
			ry Fitzpatrick		
			ployee's Name)		
			AND DELIVERY	<u> </u>	
		(3)	treet Address)		
		(Ci	ity, State, Zip)		
This is to	notify you that as of this	date, you are herew	vith <u>dismissed</u> /dem	oted from emplo	yment as a(n)
	Auto Mechanic		by the	Floret	Maintenance
	(Job Title)				epartment)
				i .	a partition,
of	City of Montgom				
	(City of Montgomery, Mo	ontgomery Comy, Montg	omery Housing Author	ity, Montgomery Re	egional Airport Authority)
The reaso	on(s) for this <u>dismissal</u> /de	motion is (are) (list	all charges - attac	h additional shee	ets as required):
Previous	record considered (list al	l previous actions co	onsidered - attach a	dditional sheets	as required):
	e entitled to a hearing		,		
writing to y from the fir Board. Yo present any City-Conne	zour department head and prov me for filing your answer, you nt may be represented by lega	iding a copy to the person must file a written requ I counsel at this hearing nee in your behalf and r adison Avenue, relative t	onnel Director, who is placed with the Personnel, if you desire and you to cross-examine any of to any other rights you	ocated at 27 Martisc Director if you desi I shall have the righ other witnesses presi have under the Meri	TO AND THE STREET S
Month	Day				Hhori Yengan
			•	Mayor	- Arabina.
	Employee			Title	

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PLR FORM 34. Revised 10/04

## APPOINTING AUTHORITY'S RECORD OF DISCIPLINARY HEARING

Employee:	Larry Fitz	patrick	
Department:	Fleet Mai	ntenance	
Department Head:	Terry H.	Gaddis	
Date: February 1, 2	2006	Time:	9:00 a.m.
Employee Represented by:	Joseph C. Guillot		
Employee's Witnesses:		•	
Rodney Hardy, Donald White, Ro	obert Shuford, and Thomas Me	cCloud	
	÷		_
Employee's Explanations or D	efenses (attach additional shee	ts as required):	
SEE ATTACHED			
Action:			
It is the Mayor's decision to terr	ninate Mr. Larry Fitzpatrick fr	om employment w	rith the City of Montgomery.
Heard before me	February 1, 2006		-
Midam C	nth day  As Lold  Spointing Authority	year	_

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The hearing was convened at 9:00 a.m. Mr. Larry Fitzpatrick was present. Also present were Terry Gaddis, Director of Fleet Maintenance: Mr. Eugene Knox, Assistant Director; Mr. Royce Albright, Department Superintendent: Mr. Joe Guillot, Attorney for Mr. Fitzpatrick; Mr. Michael Boyle, City Legal Department; Mr. Michael S. Briddell, Mayor's office and presiding officer, and Mrs. Wanda Fox, recording secretary. Mr. Briddell stated this hearing was being held to give Mr. Fitzpatrick an opportunity to defend himself of the charges. He informed Mr. Fitzpatrick that if he was suspended in excess of thirty (30) days or more, demoted or terminated from employment, he had the right to appeal to the City-County Personnel Department within ten (10) days of the notification. Mr. Fitzpatrick stated he was a good mechanic and had 15 years of experience. He stated he did not do anything to the equipment in question and he felt his work had been sabotaged because other mechanics had experienced the same thing. Mr. Gaddis, Mr. Knox and Mr. Albright stated they had never received a complaint of there being any type of sabotage and if they had received it, they would have dealt with the situation by termination. Mr. Fitzpatrick emphasized that all the equipment in question was in proper working condition after he had completed the work order assigned for the particular vehicle.

PER FORM 32 Revised 10/04 000008

## RECOMMENDATION FOR DISCIPLINARY ACTION

Employee. Larry Fitzpatrick
Address:
Date: 1/13/06
You are herewith notified that I am recommending that you be:
Suspended fordays
Dismissed
Demoted from to
The reasons for this recommendation are: (List all charges - attach additional sheets as required)
See Attached Sheets
See Attached.
Previous Record Considered (Chronological list of prior offenses and action taken)
See Attached Sheets
See Attached.
·
Transcripts of hearing attached
A hearing will be set by the Appointing Authority to consider this recommendation and the charges. You will be given the opportunity to respond to the recommendation and these charges at this hearing. You will be
notified in writing of the hearing date and your rights at said hearing.
Jen H. Ballin
Supervisor/Department Head
I hereby certify that this document was given to the employee or placed in the United States mail on
Jan 13, 2006
mox(h day / year
Jen A. Haddin
Supervisor 7 Department Head
Mayor W Clify KA
Witness

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## Recommendation for Disciplinary Action for Larry Fitzpatrick

I have carefully reviewed the witness statements which you provided to me on December 19, 2005, rather than on the original deadline of December 16, 2005.

After this review, I have concluded that the statements do not provide any factual evidence as I requested (i.e. who, what, when or where). The statements merely indicate what each individual thinks or suspects is happening. You have provided me with nothing that proves beyond a shadow of doubt your claim that some person or persons are sabotaging your completed work on vehicles.

I have given you ample time and numerous chances to provide me with any type of factual evidence regarding your contentions that your work is being sabotaged.

After considering the severity and possible consequences of your failure to properly install the rear brake caliper on Police vehicle 6210-2950 as stated in the original charges, coupled with the previous maintenance malpractice actions of November 8, 2004 (failure to install the hat-shaped seal on the fuel tank of Police vehicle 6210-2986) and of the second week of December, 2004 (failure to tighten the wheel lug nuts when replacing the wheel on vehicle 5800-2484, causing the wheel to become loose when the vehicle was operated on the City Lot), any of which could easily have resulted in major damage to City property or worse, serious personal injury/death and your past disciplinary record, I am recommending your termination from employment with the Fleet Management Department and the City of Montgomery.

Ferry H. Gaddis, Director

Fleet Management Department

Date

## PREVIOUS RECORD CONSIDERED FOR LARRY G. FITZPATRICK

## Derogatory Information:

25 October 2004-	Verbal Counseling for inappropriate behavior during incident on City Lot and for insubordination.
29 October 2002-	Five day suspension for failure to begin work immediately after clocking in for duty on or about 18 October 2002, and also on the same day, insubordinate behavior toward his shop superintendent.
4 February 2000 –	Verbal Reprimand by the Director per the Shop Forman's request for failure to give adequate notice per Departmental Operating Instruction #6 for requesting leave to attend son's ball game.
9 April 1999 –	45-day suspension without pay for 1 <sup>st</sup> violation of the City's Drug Policy (testing positive for an illegal drug, marijuana, as a result of a work related injury by a urine drug screen).
11 March 1999 –	Verbal Counseling by the Director for failure to clock-out when leaving work on 10 March 1999 as per Departmental Operating Instruction #8.
3 October 1996 –	Letter of Reprimand for failure to call in prior to his shift start time to state he would be late for work.
13 October 1995 –	Letter of Counseling for inappropriate conduct in the workplace.
28 September 1995 –	Letter of Counseling for excessive unscheduled absenteeism from work.
19 October 1994 –	Letter of Reprimand for unauthorized use of a City vehicle.
24 January 1994 –	Letter of Reprimand for failure to clock out at the end of his duty shift.
18 March 1991 –	Letter of Reprimand for failure to clock out at the end of his duty shift.

## **Achievement Information**

19 October 2005-	Carquest Training on Enhanced OBD-II Using Mode \$06
24 August 2005-	Carquest Training on Developing Diagnostic Strategies for OBD-II

10 December 2003-	Carquest Training on Automatic Transmission Operation
23 February 1999 –	Carquest training on Automotive Electronic Climate Control
1 December 1998 –	Carquest training on GM Engine Management
29 February 1996 –	OEM training on Automotive Heating and Air Conditioning
8 February 1996 —	Letter of Commendation for work of rebuilding the Police Department's floor dolly
29 July 1994 –	Caterpillar Diesel Engine Training
28 December 1993 –	MACS Automotive Air Conditioning Training

# STATEMENT BY ROYCE ALBRIGHT, SUPERINTENDENT, AUTO LIGHT EQUIPMENT DIVISION, CONCERNING INSPECTION AND REPAIRS ON VEHICLE 6210-2950

On Friday morning, October 21, 2005, vehicle 6210-2950 was in line for shop maintenance when I did my early morning inventory at about 6:00 a.m. The note left with the vehicle said something was hanging from the rear end of the car and requested that we check all brake attaching hardware.

I wrote a work order at 6:47 a.m. to accomplish the repair/inspection and reprinted the last brake maintenance and parts usage from October 17, 2005. I held the work order while planning to have someone investigate the problem.

Around 8:30 a.m. that same morning, I saw Robert Shuford, Master Mechanic, and Larry Fitzpatrick working on 6210-2950 while it was on the alignment rack. My work order was still on my desk along with the note that the officer had left.

I looked at the vehicle with Shuford and Fitzpatrick. The left rear wheel had been removed but nothing more had been done. I asked where the attaching bolts were for the brake caliper (the part that was hanging down that the officer saw). Neither Shuford nor Fitzpatrick knew where the bolts were, but they told me they had not removed them. I told them to stop what they were doing and I told Fitzpatrick to collect his tools, move away from the job, and not to touch anything more on the vehicle.

I then went to Mr. Eugene Knox, Assistant Director of Fleet Management, and asked him to please look at the problem with me. I showed him the vehicle and the brake problem. He was visibly upset while we discussed how the brakes came off the rear wheel. We both looked at the attaching parts and concluded that no attaching bolts had been installed. I also told Mr. Knox that since I had been accused of mistreating both Shuford and Fitzpatrick, that I would like to know what he wanted me to do. I told Mr. Knox what I had done so far and he agreed with my actions so far.

I then instructed Mr. Kenneth Smith, another of my mechanics, as his work area is next to Fitzpatrick's, to check 6210-2958 over very carefully and to make sure all brake hardware was correctly installed and properly torqued. I also directed Smith to replace all brake hoses, calipers, pads, hardware and the left rear wheel and tire. I also instructed Smith to save all the damaged parts from the left rear including the wheel and tire assembly.

Very shortly after talking with Smith, Mr. Knox called me and instructed me to take Fitzpatrick to City Hall for a drug screen. I asked if Shuford could do that and Mr. Knox agreed. I then directed Shuford to do what Mr. Knox had directed. Shuford and Fitzpatrick left the building not more than 10 minutes later.

While Shuford and Fitzpatrick were gone to City Hall, I asked Mr. Gaddis, Director of Fleet Management, if he was aware of the problem. (He had been out of the office earlier

in the morning). He said he was and I left his office since he had several phone calls to make. Before Shuford and Fitzpatrick returned, Mr. Gaddis came to my shop office and handed me a short memo and directed me to read it to Fitzpatrick. The memo, a copy of which is attached, directed me to forbid Fitzpatrick from working on any equipment and reassigned him to Mr. Knox until this matter could be resolved.

Royce Albright

Attachments:

Job Order #371560 Reprinted Job Order #371222 Summary

Director's Memo Dated October 21, 2005

# STATEMENT BY EUGENE KNOX, JR., ASSISTANT DIRECTOR, FLEET MANAGEMENT, CONCERNING INSPECTION AND REPAIRS ON VEHICLE 6210-2950

On Friday morning October 21, 2005, at approximately 8:15 a.m., Mr. Royce Albright, Superintendent of the Auto Light Division, came to my office and asked me to look at vehicle 6210-2950 because of a brake problem. I saw that the right rear brake caliper was hanging loose. It was indicated that the work was performed by Mr. Larry Fitzpatrick

Later after inspecting the vehicle we found that the caliper was loose on the vehicle Mr. Fitzpatrick had been working on. This was reported to Mr. Terry Gaddis, Director, Fleet Management.

I was asked to perform an investigation by Mr. Albright into this matter.

J. M. Gaddis Slut Management Scretar

#### **MEMORANDUM**

TO

: Captain G.D. Hicks

**FROM** 

: Lieutenant B.M. Rigsby

DATE

: 10/21/05

SUBJECT: Vehicle 2950's Brakes

Sir, on Thursday, 10/20/05 at approximately 2200 hours, Officer B.R. Floyd #1280 drove patrol vehicle #2950 to the rear of headquarters. He advised that something was dragging under the rear of the vehicle. Further investigation revealed that the left rear brake caliper had disengaged from the brake hub assembly and was dragging on the ground by the rubber hydraulic brake line. I removed the tire and determined that the bolts that are used to secure the caliper were not in the stud holes. If the bolts had broken off, there would still be a part of the bolt left in the assembly. Pof. Floyd advised me that he had picked up his vehicle from the ready line on Monday, 10/17/05. It had been in the shop to repair squealing brakes. Pictures were taken prior to transporting the vehicle to the control center.

I contacted Mr. Albright at the city garage today at approximately 1450 hours in regards to this situation. He advised me that he was aware of the vehicle, and that he had already started an internal investigation. He feels that the retaining bolts were never placed back into the caliper assembly after being worked on.

Page 16 6029016 Case 2:07-cv-00528-WHA-TFM Filed 04/02/2008 Document 17-2 AWREPRINTEDAX rogram: FMOOIL Job number: 4 ITY UMORS DEPARTMENT 2950 / 6210-2950 2002 FO CROWN VIC P71 quip./Reference . . : 2002 FORD MOTOR CO. CROWN VIC P71 POLICE PACKAGE B&W 4.6 LI ngine size . . . . . . 062-010 POLICE DEPARTMENT epartment . . . . . . . Job order status: 2FAFP71W62X120431 \* OPEN\* 1 umber of jobs . . . ! Opened by : RUYCE W ALBRIGH ricraty . . . . . . . Date/Fime scheduled: 0/00/00 12/12/04 36000 quapment warranty . : 34000 Date/Time removed . : 0/00/00 12/17/04 Returned . . . : 36000 Transmission . . . : 12/17/04 Est. Service Time . uncent meter . . . : 105692 105692 MI icense tag number . : 38008 Meter reading . . . : Rear tire: P225/60R16 rent tire: P225/60R16 Work class/Reason for WK Pime waiting Assigned Sys/Act Parts Labor employee System/Problem dosc ob # MON-SCHEDULED / BRIVER COMPLAINT .00 . 00 2100 CHECK BRAKE MARDWARE FOR SECURITY AND PROPER OPERA LABOR Employee End OT Hrs Action taken Start cb # Date .... ,... ,.... ,...... , ...... . .... ..... ......................... ...... .... ...... ..... \*\*\*\*\* \*\*\*\*\*\*\*\* \* \*\*\*\*\*\*\*\*\*\*\*\*\*\*\* ..... ..... ..... .... ...... ..... ..... ...... . ..... ..... .... .... 1 . ... ...... ..... ..... : ....... .... ...... ..... \_\_\_\_ ..... .... ....... ...... .... ... ... ... ... ... ..... . ..... .... ..... ...... .......... ..... ..... ..... } ,...... 

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rogram: FM115L Job Order Summary 177 SHOPS DEPARTMENT 000017 Job order number: 371222 Job number: 1 Opened by: RAYMOND L THOMAS Job order status: Date/time: 10/17/05 6:03 CLOSED JOB ORDER\* Equipment: 2950 Reference: 6210-2950 - Date/time/Opened: 10/17/05 6:03 Description: POLICE PACKAGE 8&W Removed from Service: 0/00/00 Department: 062-010 POLICE DEPARTMENT Repair Scheduled: 0/00/00 Est. Return to Service: 0/00/00 eter reading: 105447 MILE Act. Return to Service: 10/17/05 11:37 Location: AUTO/LIGHT TRUCK Out of Service Time (hrs.): Work class: 3 NON-SCHEDULED 04 DRIVER COMPLAINT Ron for work: Priority: 1 ys, reported: 2100 BRAKES - MAJOR & MINOR Sys. actual: 2158 BRAKE PAGS Action taken: 20 REMOVED & REPLACED

abor: \*=Indirect

Act --Time Regular Overtime Total

Date Employee tak on off Hours Rate Hours Rate cost

0/17/05 LARRY G FITZPATRI20 6:30 10:15 3.75 35.00 .00 52.50 131.25

Total labor: 3.75 .00 131.25

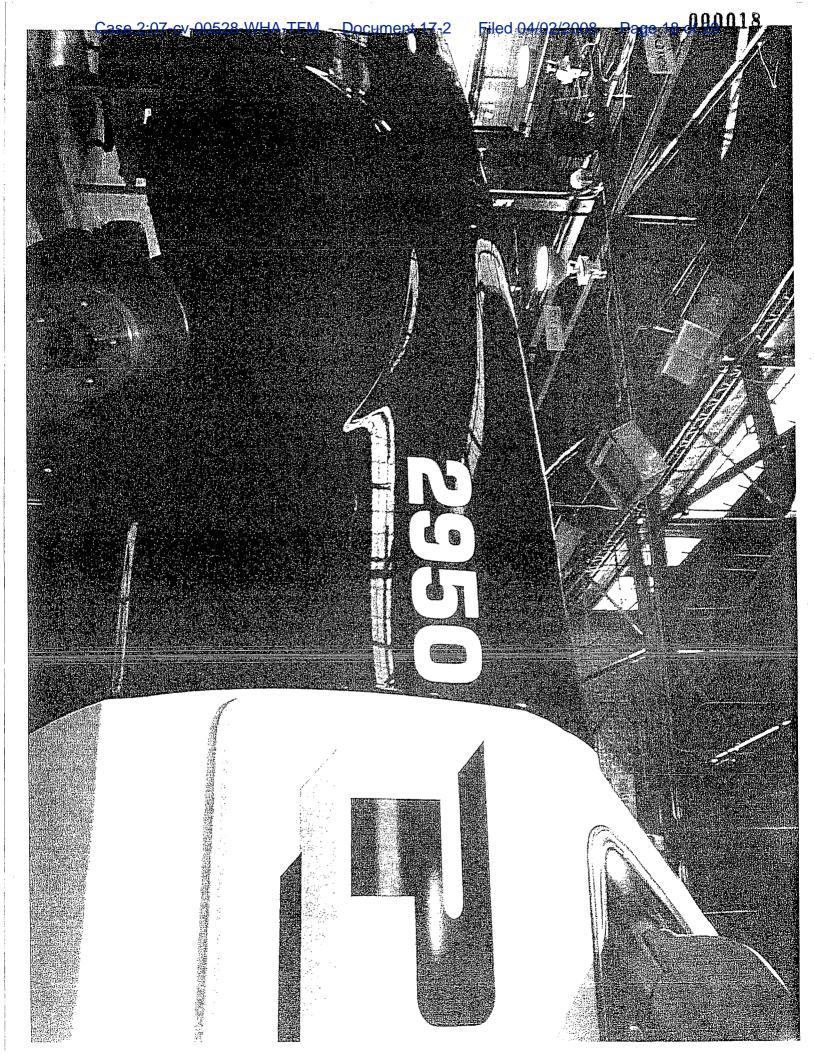
arts: Extended Total

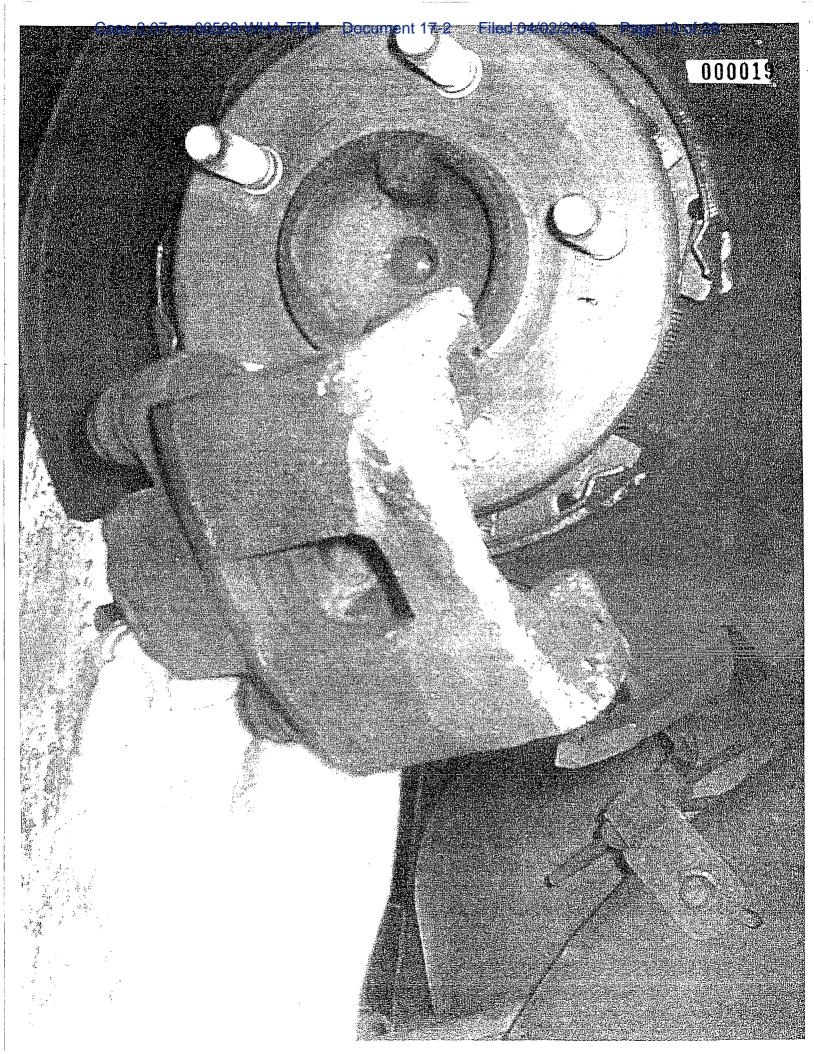
Date Loc Part number Quantity cost Markup cost

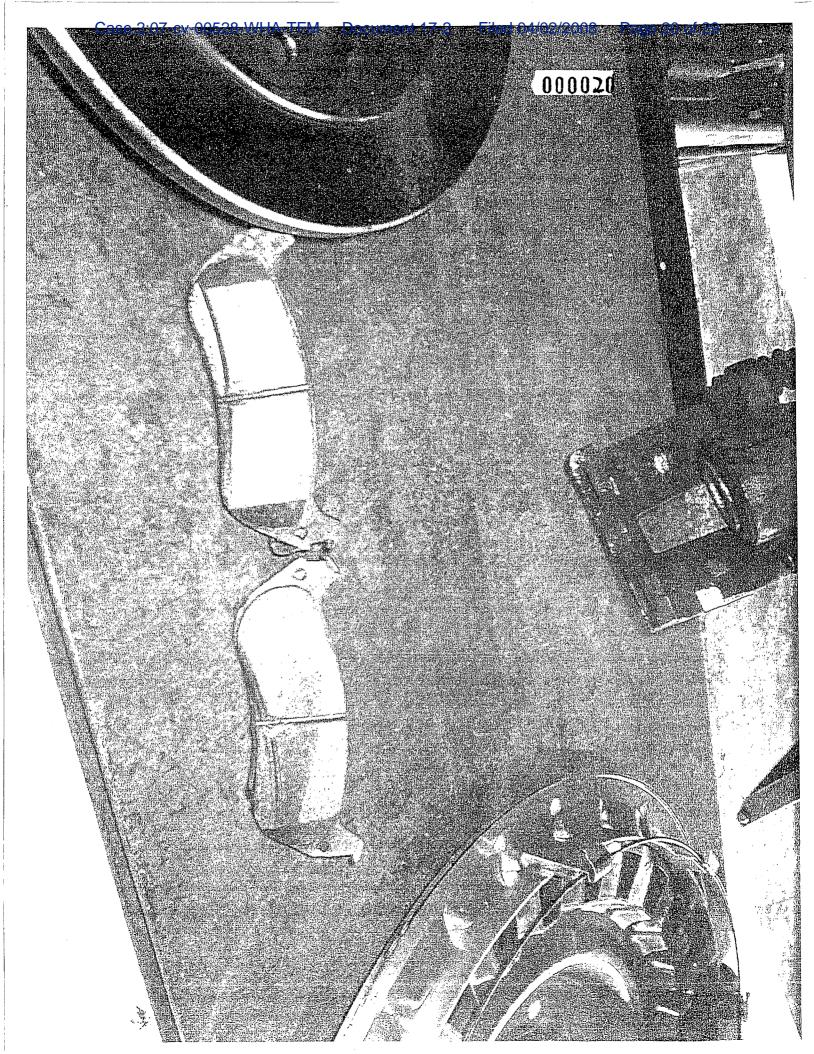
CK. BRAKE SQUEAL

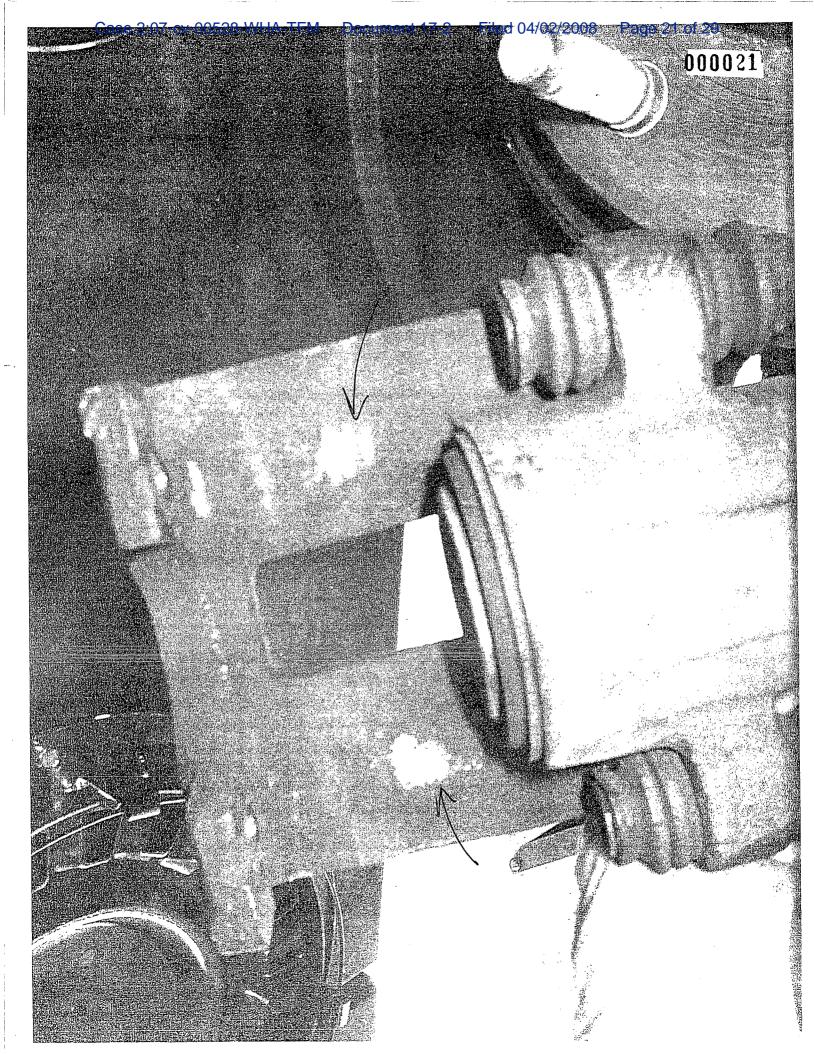
1 9 00198 2.00 76.4500 76.45 .00 0/17/05 AP 54027 REAR ROTOR 96-02 C/VIC 1 9 00199 1.00 16.0800  $\cdot$   $\circ$   $\circ$ 16.08 0/12/05 AP BS701 EMERGENCY SHOES FORD C/V 1 9 00288 1.00 36.2000 .00 36.20 0/17/05 AP MX6746 THERMO QUIET 98-02 C.VIC 1 9 00291 1.00 90.9500 .00 90.95 0/12/05 AP 18A1074 SLOTTED LEFT FRONT ROTOR 0/17/05 AP 1 9 00292 1.00 90.9500 , () () 90.95 18A1075 SLOTTED RIGHT FRONT ROTOR 37.74 1.00 37.7400 .00 0/19/05 N AAA 12 MX748 FRONT PADS INVIOS778 Total parts: 348.37

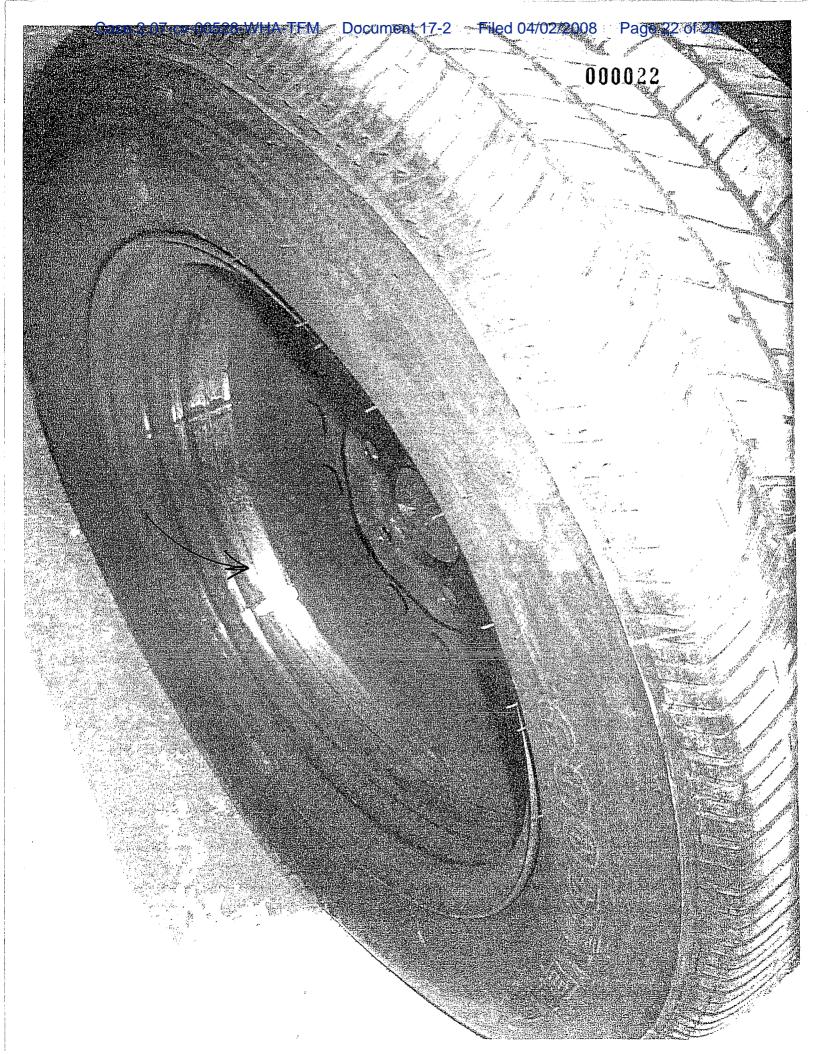
Total charge 479.62













## City of Montgomery, Alabama

000023

February 9, 2005

Johnny Ray Smith, Employee No. 360

Dear Mr. Smith,

Due to the alleged comments you made on the evening of January 20, 2005, to another department employee concerning your reason for not coming to work on Friday, January 21, 2005 because you might attempt to do bodily harm to another department employee, I am directing you to attend a counseling session with Cathy Bolin Jones, a counselor with the City of Montgomery's Employee Assistance Program.

You are scheduled for an appointment with her on February 18, 2005, at 11:00 a.m. Her office is located at 419 Interstate Park Drive.

This is mandatory counseling and your failure to attend will result in disciplinary action against you.

Sincerely,

Terry H. Gaddis, Director

Fleet Maintenance Department

City of Montgomery

THG/mg

PER FORM 30 Revised 10/31/03 000024

## EMPLOYEE COUNSELING RECORD

EMPLOYEE:	JOHNNY RAY:	SMITH	POSITION:	Auro MECO	HANIC_
SUPERVISOR	ROYCE ALBI	1647	DEPT: _	FLEET M.	ANAGE MENT
	unseling: 10/25/0				
REASON FO	R COUNSELING: (Descript	ion of performance or	conduct - give spe	cific facts, backgrou	and information dates and
INAPP	POPRIATE BEHI DEWT (SEE ATT	AVIOR DURA	PARK	KING LOT	
Druc	6 TEST ADM	MWI STEREL	) - RESUL	CTS NEGA	1770E
			•		
Employee's	response:				
	e action is required please	-	of the action to	be taken by empl	oyee and
he consequ	ence of further infractions	S:			
I WA	MANED EMPLOY 11 CEODTABLE ,	EE THAT	THIS 79	PEOFISE	HAUCOR
15 WAS	ACCEPTABLE,	AND WILL	NOT BE	TOLDRATED.	- 1.46
FUTCOM	LE DOCUPAENCE	S WILL BE	MORE HATE	SALY DEACH	WITT
Kong	e as thefit	<del></del>		10/25/04	
	Supervisor				C.1. '- C
My signatur	re indicates that the above	matters were discus	ssed with me and	that I received a contract $10/25$	Copy of this form.
	Employee Signature		_	Date	
. ,				,	

Supervisor Signature

Employee Name

PER FORM 30 Revised 10/31/03

## EMPLOYEE COUNSELING RECORD

EMPLOYEE: LANGE FIT WATTICK POSITION: FUTO MECHA	WC_
SUPERVISOR: POYCE ALBRIGHTS DEPT: PLEET MAK	AGG MENT
t believed	•
DATE OF COUNSELING: $\frac{10/23/04}{}$	
REASON FOR COUNSELING: (Description of performance or conduct - give specific facts, background ir	iformation dates and tin
DURING MY MUSSTIGATION OF A PARKING LOT INCIDEN	<b>▽</b>
MR FITZPATRICLE REFUSED TO ANSWER MY GLESTZO AND REPEATEDLY TOWN ME IT WAS "NOWE OF YOUR BUSINETS" AND WALKED AWAY FROM ME.	5W5
MR FITZPATICLE ICCTOSE TO ME IT WAS NIMED OF YOUR	_
AND REVERTED OF THE PINCE OF THE PARTY OF TH	
BUSINESS AND WHIKE AWAY FROM ME.	
INSUBORDINATE BEHAVER.	
Employee's response:	
the consequence of further infractions:  THIS BEHAVIOR IS TOTALLY LINACCOPTABLE AND W.	14
This BEHAVIOR IS TOTALLY LINACESPEABLE AND W.	BE
DEACT WITH MORE HARSHLY.	
	-
Supervisor (Date)	
My signature indicates that the above matters were discussed with me and that I received a copy	of this form
My signature indicates that the above matters were unstabled with the and that I received a sopp	
a wolfer	
Employee Signature Date	<del></del>
s will certify that the employee was offered a copy of this form, but refused to sign the Employee	Counseling Record.
1 of the contract	
( ) I'M A WAR WAR ( )	
Supervisor Signature . Employee Name	
1 " The 1x - 1 = 101	
ATTACH ADDITIONAL SHEETS AS REQUIRED	

Page 26 of 29 000026

PER FORM 30 Revised 10/31/03

## EMPLOYEE COUNSELING RECORD

EMPLOYEE: LAKKY FITZPATNICK PC	DITION: HUTO MECHANIC
SUPERVISOR: ROYCE ALBRIGHT	DEPT: FLEET MANAGEMENT
DATE OF COUNSELING: 10/25/04	
REASON FOR COUNSELING: (Description of performance or conduc	et - give specific facts, background information dates and time
INAPPROPRIATE BEMAVIOR DURIN	6 PARKENG LOT
INCIDENT (SEE ATTACHMENTS)	
DAUG TEST ADMINISTERED - RE	SULTS NIGHTIUE
THE TEST TOWNSTATES	
Employee's response:	
NONG	,
-	
If corrective action is required please specify the nature of the the consequence of further infractions:  The support of the	
I WARNED EMPLOYES THAT THIS UNACCEPTABLE AND WILL NOT BE ?	BLENATED. FLITURE
OCCUPATE NCES WILL BE MORE HAR	SHLY DEACT WITH.
Raycell again	10/25/04 Date
My signature indicates that the above matters were discussed wi	th me and that I received a copy of this form.
	••
Employee Signature	Date
s will certify that the employee was offered a copy of this form, bu	ut refused to sign the Employee Counseling Record.
May ce al Carlo Supervisor Signature	MANY ATZANTUCICS Employee Name

ATTACH ADDITIONAL SHEETS AS REQUIRED MEMBER PERCESCES TO SCEN-

